DataBase Management Systems

**UNIT I :**

**Introduction**- Data base System Applications, Purpose of Database Systems, View of Data – Data Abstraction , Instances and Schemas , Data Models . Database Languages–DDL, DML

,database Access for applications Programs , Transaction Management ,Data Storage and Querying,– data base Users and Administrator ,data base System Structure ,History of Data base Systems.

Introduction to Data base design , ER diagrams, Beyond ER Design, Entities, Attributes and Entity sets , Relationships and Relationship sets , Additional features of ER Model , Conceptual Design with the ER Model , Conceptual Design for Large enterprises. Relational Model : Introduction to the Relational Model – Integrity Constraint Over relations – Enforcing Integrity constraints – Querying relational data, Logical data base Design, Introduction to Views – Destroying /altering Tables and Views.

**UNIT II :**

**Relational Algebra and Calculus** : Relational Algebra – Selection and projection ,set operations , renaming , Joins , Division , Examples of Algebra overviews , Relational calculus

– Tuple relational Calculus – Domain relational calculus – Expressive Power of Algebra and calculus.

Form of Basic SQL Query – Examples of Basic SQL Queries , Introduction to Nested Queries, Correlated Nested Queries Set – Comparison Operators – Aggregative Operators , NULL values

– Comparison using Null values – Logical connectivity’s – AND, OR and NOT – Impact on SQL Constructs ,Outer Joins ,Disallowing NULL values , Complex Integrity Constraints in SQL Triggers and Active Data bases.

**UNIT III :**

Introduction to Schema refinement – Problems Caused by redundancy, Decompositions – Problem related to decomposition, Function dependencies- reasoning about FDS, Normal Forms

– FIRST, SECOND, THIRD Normal forms – BCNF – properties of Decompositions Lossless join Decomposition – Dependency preserving Decomposition – Schema refinement in Data base Design – Multi valued Dependencies – FORTH Normal Form, Join Dependencies, FIFTH Normal Form, Inclusive Dependencies.

UNIT IV :

Transaction Management- Transaction Concept- Transaction State- Implementation of Atomicity and Durability – Concurrent – Executions – Serializability- Recoverability – Implementation of Isolation – Testing for serializability.

Concurrency Control - Lock –Based Protocols – Timestamp Based Protocols- Validation- Based Protocols – Multiple Granularity.

Recovery system – Failure Classification- Storage Structure- Recovery – Atomicity – Log – Based Recovery- Recovery with Concurrent Transactions – Buffer Management – Failure with loss of nonvolatile storage - Advance Recovery systems- Remote Backup systems.

UNIT V :

Overview of Storage and Indexing : Data on External Storage, File Organization and Indexing – Cluster Indexes, Primary and Secondary Indexes , Index data Structures – Hash Based Indexing

,Tree base Indexing, Comparison of File Organizations .

Tree Structured Indexing - Intuitions for tree Indexes – Indexed Sequential Access Methods (ISAM) – B+ Trees: A Dynamic Index Structure, Search, Insert, Delete.

Hash Based indexing: Static Hashing, Extendable Hashing, Linear Hashing, Extendible vs. Linear Hashing.

TEXT BOOKS :

1. Data base Management Systems, Raghurama Krishnan, Johannes Gehrke, TATA McGrawHill 3rd Edition
2. Data base System Concepts, Silberschatz, Korth, McGraw hill, VI edition.

REFERENCES :

1. Data base Systems design, Implementation, and Management, Peter Rob & Carlos Coronel 7th Edition.
2. Fundamentals of Database Systems, Elmasri Navrate Pearson Education
3. Introduction to Database Systems, C.J.Date Pearson Education

**UNIT-I**

**DEFINITION OF DBMS**

DBMS is software which is used to manage the collection of interrelated data.

**File systems Vs DBMS:**

The typical file processing system is supported by the operating systems. Files are created and manipulated by writing programs so the permanent records are stored in various files. Before the advent of DBMS, organizations typically stored the information using such systems.

Ex: Using COBOL we can maintain several files (collection of records) to access those files we have to go through the application programs which have written for creating files, updating file, inserting the records

The problems in file processing system are

* + Data redundancy and consistency
	+ Difficulty in accessing data
	+ Data isolation
	+ Integrity problems
	+ Atomicity problems
	+ Security problems

To solve the above problems DBMS has been invented.

**View of data:**

The man purpose of DBMS is to provide users with an abstract view of the data. The data abstraction is in three levels.

* Physical level: How the data are actually stored that means what data structures are used to store data on Hard disk

Ex: Sequential , Tree structured

* Logical Level : What data are stored in database
* View level : It is the part of data base Ex: Required records in table.

**Instance:**

The collection of information stored in the database at a particular moment is called an instance of the data base.

**Data base schema:**

* Logical schema
* Physical schema

**Data independence:**

The ability to modify schema definition in one level with affecting a schema definition in the next higher level is called data independence.

* Physical independence
* Logical independence

**Data models:**

Underlying the structure of a data base is the data model. The collection of conceptual tools for describing data, data relationships, data semantics.

Three types of data models are there:

* Object based logical model:

These are used to describe the data at logical level, view level. It is divided into several types. Entity relationship model

* Object oriented model
* The semantic data model
* The function data model
* Record based logical model :

In contrast to object based model they are used both to specify the overall logical structure of data base and to pride a higher level description of the implementation.

* Relation model
* Network model
* Hierarchical model

Database languages:

Database system provides two types of languages

* Data definition language :

Database schema is specified by a set of definitions expressed by a special language called DDL.

* Data manipulation language:

This enables the user to access or manipulates data organized by the appropriate data model.

Database administrator: the person who has the central control over the DBMS is called the data base administrator (DBA).

**The functions of DBA:**

* Schema definition
* Access methods definition
* Schema and physical organization modification
* Granting authorization for data
* Integrity constraint specification

**Structure of a DBMS**

Figure 1.3 shows the structure of a typical DBMS.



This unit-2 presents details about the entity relationship model. This model provides a high level view of the issues. To design the data base we need to follow proper way that way is called data model. So we see how to use the E-R model to design the data base.

**Contents :**

* Over view of data base design
* ER model
* Features of ER model
* Conceptual design using ER model

**Database Design:**

The database design process can be divided into six steps.

The ER model is most relevant to the first three steps.

* Requirements Analysis :

The very first step in designing a database application is to understand what data is to be stored in the database, what applications must be built on top of it, and what operations are most frequent and subject to performance requirements. In other words, we must find out what the users want from the database.

* Conceptual Database Design :

The information gathered in the requirements analysis step is used to develop to high level description of the data to the stored in the database, along with the constraints that are known to hold over this data. This step is often carried out using the ER model, or a similar high level data model.

* Logical Database Design:

We must choose a DBMS to implement our database design, and convert the conceptual database design into a database schema in the data model of the chosen DBMS.

* Schema Refinement :

The fourth step in database design is to analyse the collection of relations in our relational database schema to identify potential problems, and to refine it. In contrast to the requirements analysis and conceptual design steps, which are essentially subjective, schema refinement can be guided by some elegant and powerful theory.

* Physical Database Design :

In this step we must consider typical expected workloads that our database must support and further refine the database design to ensure that it meets desired performance criteria. This tep may simply involve building indexes on some tables and clustering some tables, or it may involve a substantial redesign of parts of the database schema obtained from the earlier design steps.

* Security Design:

In this step, we identify different user groups and different roles played by various users (Eg : the development team for a product, the customer support representatives, the product manager ).

For each role and user group, we must identify the parts of the database that they must be able to access and the parts of the database that they should not be allowed to access, and take steps to ensure that they can access.

Over view of ER (Entity – Relationship) model:

The entity relationship (E-R) data model is based on a perception of a real world that consists of a set of basic objects called entities, and of relationships among these objects.

It was developed to facilitate database design by allowing the specificatin of an enterprise schema, which represents the overall logical structure of a database.

The database structure, employing the E-R model is usually shown pictorially using entity – relationship (E-R) diagrams. The entities and the relationships between them are shown in fig. Using the following conventions :

* An entity set is shown as a rectangle.
* A diamond represents the relationship among a number of entities, which are connected to the diamond by lines.
* The attributes, shown as ovals, are connected to the entities or relationship by lines.
* Diamonds, ovals, and rectangles are labeled. The type of relationship existing between the entities is represented by giving the cardinality of the relationship on the line joining the relationship to the entity.

Mapping Cardinalities :

Mapping Cardinalities, or cardinality rations, express the number of entities to which another enlity can be associated via a relationship set.

Mapping cardinalities are most useful in describing binary relationship sets, although occasionally they contribute to the description of relationship sets that involve more than two entity sets.

For, a binary relationship set R between entiry sets A and B, the mapping cardinality must be one of the following:

One to One :

An entity in A is associated with at most one entity in B, and an entity in B is associated with at most one entity in A.

One to many .

An entity in A is associated with any number of entities in B. An entity in B. However, can be associated with at most one entity in A.

Many to one :

An entity in A is associated with at most one entity in B.

An entity in B however, can be associated with any number of entities in A.

Many to Many:

An entity in A is associated with any number of entities in B

And an entity in B is associated with any number of entities in A

The appropriate mapping cardinality for a particular relationship set is obviously dependent on the real world situation that is being modeled by the relationship set. The overall logical structure of a database can be expressed graphically by an E-R diagram, which is built up from the following components.

* Rectangles, which represent entity sets
* Ellipse, which represent attributes
* Diamonds, which represent relationship sets
* Lines, which link attributes to entity sets and entity sets to relationship sets
* Double ellipses, which represent multivalued attributes
* Double lines, which indicate total participation of an entity in a relationship set Entities:

An entity as a thing which can be distinctly identified.

If then goes on to classify entities into regular entities and weak entities.

A weak entity is an entity that is existence dependent on some other entity, in the sence that it cannot exist if that other entity does not also exist.

For example in Fig. An employee’s dependents might be weak entities – they cannot exist ( so far as the database is concerned) if the relevant employee does not exist. In particular, if a given employee is delete, all dependents of that employee must be deleted too.



A regular entity, by contrast, is an entity that is not weak.

Eg : Employees might be regular entities

Note : some use the term “strong entity” instead of “regular entity”

Properties ( Attributes )

Entities and also relationships, have properties.

All entities or relationships of a given type have certain kinds of properties in common, for example, all employees have an employee number, a name, a salary, and so on.

Each kind of property draw its values from a corresponding value set ( i.e domain, in relational terms)

Furthermore, properties can be :

* simple or composite

For Eg: the composite property employee name might be made up of the simple properties first name, middle initial and last name

* Key ( i.e. unique, possibly within some context )

For eg: a dependent’s name might be unique within the context of a given employee

* Single or multi valued ( in other words, repeating groups are permitted ) all properties shown in fig. Are single valued, but if

Eg : a given supplier could have several distinct supplier locations, then supplier city might be a multi valued property.

* Missing

Ex: Unknown or not applicable

* Base or derived :

For Ex: total quantity for a given part might be derived as the sum of the individual shipment quantities for that part.

Note : some use the term “ attibute” instead of “property” in an E/R context.

**Relationships :**

Relationship as “ an association among entities”

For Ex: there is a relationship called DEPT –EMP between departments and employees, representing the fact that certain departments employ certain employees.



As with entities, it is necessary in principle to distinguish between relationship types and relationship instances.

The entries involved in a given relationship are said to be participants in that relationship. The number of participants in a given relationship is called the degree of that relationship.

Let R be a relationship type that involves entity type E as a participant. If every instance of E participates in atleast one instance of R, then the participation of E in R is said to be total, otherwise it is said to be partial.

For Ex: if every employee must belong to a department, then the participation of employees in DEPT- EMP is total; if it is possible for a given department to have no employees at all, then the participation of departments in DEPT – EMP is partial.

The database structure, employing the E-R model is usually shown pictorially using entity – relationship.

(E-R) diagrams : The entities and the relationships between them are shown in fig. Using the following conventions :

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* Diamonds, ovals, and rectangles are labeled. The type of relationship existing between the entities is represented by giving the cardinality of the relationship on the line joining the relationship to the entity.

In Unit – 2 we discuss about Data storage and retrieval. It deals with disk, file, and file system structure, and with the mapping of relational and object data to a file system. A variety of data access techniques are presented in this unit , including hashing, B+ - tree indices, and grid file indices. External sorting which will be done in secondary memory is discussed here.

**Conceptual Database Design With The ER Model**

Developing an ER diagram presents several choices, including the following:

* Should a concept be modeled as an entity or an attribute?
* Should a concept be modeled as an entity or a relationship?
* What are the relationship sets and their participating entity sets?
* Should we use binary or ternary relationships?
* Should we use aggregation?

 **UNIT-II**

Introduction to the Relational Model

The main construct for representing data in the relational model is a relation. A relation consists of a relation schema and a relation instance. The relation instance is a table, and the relation schema describes the column heads for the table. We ﬁrst describe the relation schema and then the relation instance. The schema speciﬁes the relation’s name, the name of each ﬁeld (or column, or attribute), and the domain of each ﬁeld. A domain is referred to in a relation schema by the domain name and has a set of associated values.

Eg:

Students(sid: string, name: string, login: string, age: integer, gpa: real)

This says, for instance, that the ﬁeld named sid has a domain named string. The set of values associated with domain string is the set of all character strings.

An instance of a relation is a set of tuples, also called records, in which each tuple has the same number of ﬁelds as the relation schema. A relation instance can be thought of as a table in which each tuple is a row, and all rows have the same number of ﬁelds.

An instance of the Students relation appears in Figure 3.1.



A relation schema speciﬁes the domain of each ﬁeld or column in the relation instance. These domain constraints in the schema specify an important condition that we want each instance of the relation to satisfy: The values that appear in a column must be drawn from the domain associated with that column. Thus, the domain of a ﬁeld is essentially the type of that ﬁeld, in programming language terms, and restricts the values that can appear in the ﬁeld.

Domain constraints are so fundamental in the relational model that we will henceforth consider only relation instances that satisfy them; therefore, relation instance means relation instance that satisﬁes the domain constraints in the relation schema.

The degree, also called arity, of a relation is the number of ﬁelds. The cardinality of a relation instance is the number of tuples in it. In Figure 3.1, the degree of the relation (the number of columns) is ﬁve, and the cardinality of this instance is six.

A relational database is a collection of relations with distinct relation names. The relational database schema is the collection of schemas for the relations in the database.

Creating and Modifying Relations

The SQL-92 language standard uses the word table to denote relation, and we will often follow this convention when discussing SQL. The subset of SQL that supports the creation, deletion, and modiﬁcation of tables is called the **Data Deﬁnition Language (DDL)**.

To create the Students relation, we can use the following statement:

The CREATE TABLE statement is used to deﬁne a new table.

CREATE TABLE Students ( sid CHAR(20), name CHAR(30), login CHAR(20), age INTEGER, gpa REAL )

Tuples are inserted using the INSERT command. We can insert a single tuple into the Students table as follows:

INSERT INTO Students (sid, name, login, age, gpa) VALUES (53688, ‘Smith’, ‘smith@ee’, 18, 3.2)

We can delete tuples using the DELETE command. We can delete all Students tuples with name equal to Smith using the command:

DELETE FROM Students S WHERE S.name = ‘Smith’

We can modify the column values in an existing row using the UPDATE command. For example, we can increment the age and decrement the gpa of the student with sid 53688:

UPDATE Students S SET S.age = S.age + 1, S.gpa = S.gpa - 1 WHERE S.sid = 53688

Integrity Constraints Over Relations

An integrity constraint (IC) is a condition that is speciﬁed on a database schema, and restricts the data that can bstored in an instance of the database. If a database instance satisﬁes all the integrity constraints speciﬁed on the database schema, it is a legal instance. A DBMS enforces integrity constraints, in that it permits only legal instances to be stored in the database.

Key Constraints

Consider the Students relation and the constraint that no two students have the same student id. This IC is an example of a key constraint. A key constraint is a statement that a certain minimal subset of the ﬁelds of a relation is a unique identiﬁer for a tuple. A set of ﬁelds that uniquely identiﬁes a tuple according to a key constraint is called a **candidate key** for the relation; we often abbreviate this to just key.In the case of the Students relation, the (set of ﬁelds containing just the) sid ﬁeld is a candidate key.

There are two parts to the deﬁnition of (candidate) key:

1. Two distinct tuples in a legal instance (an instance that satisﬁes all ICs, including the key constraint) cannot have identical values in all the ﬁelds of a key.
2. No subset of the set of ﬁelds in a key is a unique identiﬁer for a tuple.

The ﬁrst part of the deﬁnition means that in any legal instance, the values in the key ﬁelds uniquely identify a tuple in the instance

The second part of the deﬁnition means, for example, that the set of ﬁelds {sid, name} is not a key for Students, because this set properly contains the key {sid}.Theset {sid, name} is an example of a **superkey**, which is a set of ﬁelds that contains a key.

Out of all the available candidate keys, a database designer can identify a **primary** key. Intuitively, a tuple can be referred to from elsewhere in the database by storing the values of its primary key ﬁelds. For example, we can refer to a Students tuple by storing its sid value.

Specifying Key Constraints in SQL

CREATE TABLE Students ( sid CHAR(20), name CHAR(30), login CHAR(20), age INTEGER, gpa REAL, UNIQUE (name, age), CONSTRAINT StudentsKey PRIMARY KEY

(sid) )

This deﬁnition says that sid is the primary key and that the combination of name and age is also a key. The deﬁnition of the primary key also illustrates how we can name a constraint by preceding it with CONSTRAINT constraint-name. If the constraint is violated, the constraint name is returned and can be used to identify the error.

Foreign Key Constraints

Sometimes the information stored in a relation is linked to the information stored in another relation. If one of the relations is modiﬁed, the other must be checked, and perhaps modiﬁed, to keep the data consistent. An IC involving both relations must be speciﬁed if a DBMS is to make such checks. The most common IC involving two relations is a foreign key constraint.

Suppose that in addition to Students, we have a second relation:

Enrolled(sid: string, cid: string, grade: string)

To ensure that only bonaﬁde students can enroll in courses, any value that appears in the sid ﬁeld of an instance of the Enrolled relation should also appear in the sid ﬁeld of some tuple in the

Students relation. The sid ﬁeld of Enrolled is called a **foreign key** and **refers** to Students. The foreign key in the referencing relation (Enrolled, in our example) must match the primary key of the referenced relation (Students), i.e., it must have the same number of columns and compatible data types, although the column names can be diﬀerent.



**Specifying Foreign Key Constraints in SQL**

CREATE TABLE Enrolled ( sid CHAR(20), cid CHAR(20), grade CHAR(10), PRIMARY KEY

(sid, cid), FOREIGN KEY (sid) REFERENCES Students )

Enforcing Integrity Constraints

Consider the instance S1 of Students shown in Figure 3.1. The following insertion violates the primary key constraint because there is already a tuple with the sid 53688, and it will be rejected by the DBMS:

INSERT INTO Students (sid, name, login, age, gpa) VALUES (53688, ‘Mike’, ‘mike@ee’, 17, 3.4)

The following insertion violates the constraint that the primary key cannot contain null:

INSERT INTO Students (sid, name, login, age, gpa) VALUES (null, ‘Mike’, ‘mike@ee’, 17, 3.4)

Querying Relational Data

A **relational database query** is a question about the data, and the answer consists of a new relation containing the result. For example, we might want to ﬁnd all students younger than 18 or all students enrolled in Reggae203.

A **query language** is a specialized language for writing queries.

SQL is the most popular commercial query language for a relational DBMS. Consider the instance of the Students relation shown in Figure 3.1. We can retrieve rows corresponding to students who are younger than 18 with the following SQL query:

SELECT \* FROM Students S WHERE S.age < 18

The symbol \* means that we retain all ﬁelds of selected tuples in the result. The condition S.age

< 18 in the WHERE clause speciﬁes that we want to select only tuples in which the age ﬁeld has a value less than 18. This query evaluates to the relation shown in Figure 3.6.



Introduction To Views

A **view** is a table whose rows are not explicitly stored in the database but are computed as needed from a **view deﬁnition**. Consider the Students and Enrolled relations. Suppose that we are often interested in ﬁnding the names and student identiﬁers of students who got a grade of B in some course, together with the cid for the course. We can deﬁne a view for this purpose. Using SQL notation:

CREATE VIEW B-Students (name, sid, course) AS SELECT S.sname, S.sid, E.cid FROM Students S, Enrolled E WHERE S.sid = E.sid AND E.grade = ‘B’

This view can be used just like a **base table**, or explicitly stored table, in deﬁning new queries or views. Given the instances of Enrolled and Students shown in Figure 3.4, BStudents contains the tuples shown in Figure 3.18.



**Destroying/Altering Tables and Views**

To destroy views, use the DROP TABLE command. For example, DROP TABLE Students RESTRICT destroys the Students table unless some view or integrity constraint refers to Students; if so, the command fails. If the keyword RESTRICT is replaced by CASCADE, Students is dropped and any referencing views or integrity constraints are (recursively) dropped as well; one of these two keywords must always be speciﬁed. A view can be dropped using the DROP VIEW command, which is just like DROP TABLE.

ALTER TABLE modiﬁes the structure of an existing table. To add a column called maiden-name to Students, for example, we would use the following command:

ALTER TABLE Students ADD COLUMN maiden-name CHAR(10)

The deﬁnition of Students is modiﬁed to add this column, and all existing rows are padded with null values in this column. ALTER TABLE can also be used to delete columns and to add or drop integrity constraints on a table.

**Relational Algebra**

Relational algebra is one of the two formal query languages associated with the relational model. Queries in algebra are composed using a collection of operators. A fundamental property is that every operator in the algebra accepts (one or two) relation instances as arguments and returns a relation instance as the result. This property makes it easy to compose operators to form a complex query —a **relational algebra expression** is recursively deﬁned to be a relation, a unary algebra operator applied to a single expression, or a binary algebra operator applied to two expressions. We describe the basic operators of the algebra (selection, projection, union, cross-product, and diﬀerence).

**Selection and Projection**

Relational algebra includes operators to select rows from a relation (σ)and to project columns (π).



These operations allows to manipulate data in a single relation. Consider the instance of the Sailors relation shown in Figure 4.2, denoted as S2. We can retrieve rows corresponding to expert sailors by using the s operator. The expression (S2) evaluates to the relation shown in Figure 4.4. The subscript rating>8 speciﬁes the selection criterion to be applied while retrieving tuples.







**Set Operations**

The following standard operations on sets are also available in relational algebra: union (𝖴), intersection (n), set-diﬀerence (-), and cross-product (×).

* **Union:** R𝖴S returns a relation instance containing all tuples that occur in either relation instance R or relation instance S (or both). R and S must be unioncompatible, and the schema of the result is deﬁned to be identical to the schema of R.
* **Intersection:** RnS returns a relation instance containing all tuples that occur in both R and S. The relations R and S must be union-compatible, and the schema of the result is deﬁned to be identical to the schema of R.
* **Set-di**ﬀ**erence:** R-S returns a relation instance containing all tuples that occur in R but not in S. The relations R and S must be union-compatible, and the schema of the result is deﬁned to be identical to the schema of R.
* **Cross-product:** R×S returns a relation instance whose schema contains all the ﬁelds of R (in the same order as they appear in R) followed by all the ﬁelds of S (in the same order as they appear in S). The result of R × S contains one tuple r, s (the concatenation of tuples r and s) for each pair of tuples r ∈ R, s

∈ S. The cross-product opertion is sometimes called **Cartesian product**. **Joins**

The join operation is one of the most useful operations in relational algebra and is the most commonly used way to combine information from two or more relations. Although a join can be deﬁned as a cross- product followed by selections and projections, joins arise much more frequently in practice than plain cross-products.

**Condition Joins**

The most general version of the join operation accepts a join condition c and a pair of relation instances as arguments, and returns a relation instance. The join condition is identical to a selection condition in form. The operation is deﬁned as follows:



As an example, the result of .



**Relational Calculus**

Relational calculus is an alternative to relational algebra. In contrast to the algebra, which is procedural, the calculus is nonprocedural, or declarative, in that it allows to describe the set of answers without being explicit about how they should be computed.

The variant of the calculus that we present in detail is called the tuple relational calculus (TRC). Variables in TRC take on tuples as values. In another variant, called the domain relational calculus (DRC), the variables range over ﬁeld values.

**Tuple Relational Calculus**

A tuple variable is a variable that takes on tuples of a particular relation schema as values. That is, every value assigned to a given tuple variable has the same number and type of ﬁelds. A tuple relational calculus query has the form { T | p(T) },where T is a tuple variable and p(T) denotes a formula that describes T. The result of this query is the set of all tuples t for which the formula p(T)evaluates to true with T = t. The language for writing formulas p(T) is thus at the heart of TRC and is essentially a simple subset of ﬁrst-order logic.

As a simple example, consider the following query.

Find all sailors with a rating above 7.

{S | S ∈ Sailors 𝖠 S.rating > 7}

Syntax of TRC Queries

Let Rel be a relation name, R and S be tuple variables, a an attribute of R,and b an attribute of S. Let op denote an operator in the set {<, >, =, =, =, =}. An atomic formula is one of the following:

* R ∈ Rel
* R.a op S.b
* R.a op constant, or constant op R.a

A formula is recursively deﬁned to be one of the following, where p and q are themselves formulas, and p(R) denotes a formula in which the variable R appears:

* any atomic formula
* ¬p, p 𝖠 q, p ∨ q,orp ⇒ q
* ∃R(p(R)), where R is a tuple variable
* ∀R(p(R)), where R is a tuple variable

**Domain Relational Calculus**

A **domain variable** is a variable that ranges over the values in the domain of some attribute (e.g., the variable can be assigned an integer if it appears in an attribute whose domain is the set of integers). A DRC query has the form {x | p(x1,x2,...,xn)},where each x is either a domain variable or a constant and p(x1,x2,...,xn) denotes a **DRC formula** whose only free variables are the variables among the x i, 1 ≤ i ≥

n. The result of this query is the set of all tuples x1,x2,...,xi for which the formula evaluates to true.

DRC formula is deﬁned in a manner that is very similar to the deﬁnition of a TRC formula. The main diﬀerence is that the variables are now domain variables. Let op denote an operator in the set {<, >, =, =,

=, =} and let X and Y be domain variables.

An **atomic formula** in DRC is one of the following:

* <x1,x2,...,xn> ∈Rel,where Rel is a relation with n attributes; each x, 1 ≤i≥ n is either a variable or a constant.
* X op Y
* X op constant,or constant op X

A **formula** is recursively deﬁned to be one of the following, where p and q are themselves formulas, and p(X) denotes a formula in which the variable X appears:

* any atomic formula
* ¬p, p 𝖠 q, p ∨ q,orp ⇒ q
* ∃X(p(X)), where X is a domain variable
* ∀X(p(X)), where X is a domain variable

Eg:Find all sailors with a rating above 7.

{<I, N, T, A>|<I, N, T, A>∈Sailors 𝖠 T>7}

**The Form of a Basic SQL Query**:

SELECT [ DISTINCT ] select-list FROM from-list

WHERE qualiﬁcation

Every query must have a SELECT clause, which speciﬁes columns to be retained in the result, and a FROM clause, which speciﬁes a cross-product of tables. The optional WHERE clause speciﬁes selection conditions on the tables mentioned in the FROM clause.

Eg: 1. Find the names and ages of all sailors.

SELECT DISTINCT S.sname, S.age FROM Sailors S

2.Find all sailors with a rating above 7.

SELECT S.sid, S.sname, S.rating, S.age FROM Sailors AS S WHERE S.rating > 7

We now consider the syntax of a basic SQL query in detail.

* The **from-list** in the FROM clause is a list of table names. A table name can be followed by a **range variable**; a range variable is particularly useful when the same table name appears more than once in the from-list.
* The **select-list** is a list of (expressions involving) column names of tables named in the from-list. Column names can be preﬁxed by a range variable.
* The **qualiﬁcation** in the WHERE clause is a boolean combination (i.e., an expression using the logical connectives AND, OR,andNOT) of conditions of the form expression op expression,whereop is one of the comparison operators {<, <=, = , <>, >=,>}.An expression is a column name, a constant, or an (arithmetic or string) expression.
* The DISTINCT keyword is optional. It indicates that the table computed as an answer to this query should not contain duplicates, that is, two copies of the same row. The default is that duplicates are not eliminated.

The following is the conceptual evaluation strategy of SQL query

1. Compute the cross-product of the tables in the **from-list**.
2. Delete those rows in the cross-product that fail the **qualiﬁcation** conditions.
3. Delete all columns that do not appear in the **select-list**.
4. If DISTINCT is speciﬁed, eliminate duplicate rows.

**ADDITIONAL TOPIC:**

**UNION, INTERSECT, AND EXCEPT**

SQL provides three set-manipulation constructs that extend the basic query form. Since the answer to a query is a multiset of rows, it is natural to consider the use of operations such as union, intersection, and diﬀerence. SQL supports these operations under the names UNION, INTERSECT,andEXCEPT.

Union:

Eg: Find the names of sailors who have reserved a red or a green boat.

SELECT S.sname FROM Sailors S, Reserves R, Boats B WHERE S.sid = R.sid AND R.bid = B.bid AND B.color = ‘red’

UNION

SELECT S2.sname FROM Sailors S2, Boats B2, Reserves R2 WHERE S2.sid = R2.sid AND R2.bid = B2.bid AND B2.color = ‘green’

This query says that we want the union of the set of sailors who have reserved red boats and the set of sailors who have reserved green boats.

Intersect:

Eg:Find the names of sailors who have reserved both a red and a green boat.

SELECT S.sname FROM Sailors S, Reserves R, Boats B WHERE S.sid = R.sid AND R.bid = B.bid AND B.color = ‘red’

INTERSECT

SELECT S2.sname FROM Sailors S2, Boats B2, Reserves R2 WHERE S2.sid = R2.sid AND R2.bid = B2.bid AND B2.color = ‘green’

Except:

Eg:Find the sids of all sailors who have reserved red boats but not green boats.

SELECT S.sid FROM Sailors S, Reserves R, Boats B WHERE S.sid = R.sid AND R.bid = B.bid AND B.color = ‘red’

EXCEPT

SELECT S2.sid FROM Sailors S2, Reserves R2, Boats B2 WHERE S2.sid = R2.sid AND R2.bid = B2.bid AND B2.color = ‘green’

SQL also provides other set operations: IN (to check if an element is in a given set), op ANY, op ALL (to compare a value with the elements in a given set, using comparison operator op), and EXISTS (to check if a set is empty). IN and EXISTS can be preﬁxed by NOT,withthe obvious modiﬁcation to their meaning. We cover UNION, INTERSECT,andEXCEPT in this section, and the other operations in Section 5.4.

**NESTED QUERIES**

A **nested query** is a query that has another query embedded within it; the embedded query is called a

**subquery**.

SQL provides other set operations: IN (to check if an element is in a given set),NOT IN(to check if an element is not in a given set).

Eg:1. Find the names of sailors who have reserved boat 103.

SELECT S.sname FROM Sailors S WHERE S.sid IN ( SELECT R.sid

FROM Reserves R WHERE R.bid = 103 )

The nested subquery computes the (multi)set of sids for sailors who have reserved boat 103, and the top- level query retrieves the names of sailors whose sid is in this set. The IN operator allows us to test whether a value is in a given set of elements; an SQL query is used to generate the set to be tested.

2.Find the names of sailors who have not reserved a red boat.

SELECT S.sname FROM Sailors S WHERE S.sid NOT IN ( SELECT R.sid

FROM Reserves R

WHERE R.bid IN ( SELECT B.bid

FROM Boats B

WHERE B.color = ‘red’ )

**Correlated Nested Queries**

In the nested queries that we have seen, the inner subquery has been completely independent of the outer query. In general the inner subquery could depend on the row that is currently being examined in the outer query .

Eg: Find the names of sailors who have reserved boat number 103.

SELECT S.sname FROM Sailors S WHERE EXISTS ( SELECT \*

FROM Reserves R

WHERE R.bid = 103 AND R.sid = S.sid )

The EXISTS operator is another set comparison operator, such as IN. It allows us to test whether a set is nonempty.

**Set-Comparison Operators**

SQL also supports op ANY and op ALL, where op is one of the arithmetic comparison operators {<, <=,

=, <>, >=,>}.

Eg:1. Find sailors whose rating is better than some sailor called Horatio.

SELECT S.sid FROM Sailors S

WHERE S.rating > ANY ( SELECT S2.rating

FROM Sailors S2

WHERE S2.sname = ‘Horatio’ )

If there are several sailors called Horatio, this query ﬁnds all sailors whose rating is better than that of some sailor called Horatio.

2.Find the sailors with the highest rating.

SELECT S.sid FROM Sailors S

WHERE S.rating >= ALL ( SELECT S2.rating

FROM Sailors S2 )

**Aggregate Operators**

SQL supports ﬁve aggregate operations, which can be applied on any column

1. COUNT ([DISTINCT] A): The number of (unique) values in the A column.
2. SUM ([DISTINCT] A): The sum of all (unique) values in the A column.
3. AVG ([DISTINCT] A): The average of all (unique) values in the A column.
4. MAX (A): The maximum value in the A column.
5. MIN (A): The minimum value in the A column.

Eg:1. Find the average age of all sailors.

SELECT AVG (S.age) FROM Sailors S

2.Count the number of sailors.

SELECT COUNT (\*) FROM Sailors S

**The GROUP BY and HAVING Clauses**

Thus far, we have applied aggregate operations to all (qualifying) rows in a relation. Often we want to apply aggregate operations to each of a number of **groups** of rows in a relation, where the number of groups depends on the relation instance.

Syntax:

SELECT [ DISTINCT ] select-list FROM from-list

WHERE qualiﬁcation GROUP BY grouping-list HAVING group-qualiﬁcation

Eg:Find the age of the youngest sailor for each rating level.

SELECT S.rating, MIN (S.age) FROM Sailors S GROUP BY S.rating

**NULL VALUES**

SQL provides a special column value called null to use where some column does not have a value to hold or the value is unknown. We use null when the column value is either unknown or inapplicable.

**Logical Connectives AND, OR, and NOT**

The logical operators AND, OR,andNOT using a three-valued logic in which expressions evaluate to true, false,or unknown.OR of two arguments evaluates to true if either argument evaluates to true, and to unknown if one argument evaluates to false and the other evaluates to unknown. (If both arguments are false, of course, it evaluates to false.) AND of two arguments evaluates to false if either argument evaluates to false, and to unknown if one argument evaluates to unknown and the other evaluates to true or unknown.

**Outer Joins**

The join operation that rely on null values, called **outer joins**, are supported in SQL. Consider the join of two tables, say Sailors & Reserves. Tuples of Sailors that do not match some row in Reserves according to the join condition c do not appear in the result. In an outer join, on the other hand, Sailor rows without a matching Reserves row appear exactly once in the result, with the result columns inherited from Reserves assigned null values.

In fact, there are several variants of the outer join idea. In a **left outer join**, Sailor rows without a matching Reserves row appear in the result, but not vice versa. In a **right outer join**, Reserves rows without a matching Sailors row appear in the result, but not vice versa. In a **full outer join**, both Sailors and Reserves rows without a match appear in the result.

**Triggers and Active Databases**

A **trigger** is a procedure that is automatically invoked by the DBMS in response to speciﬁed changes to the database, and is typically speciﬁed by the DBA. A database that has a set of associated triggers is called an **active database**.

A trigger description contains three parts:

* **Event**: A change to the database that **activates** the trigger.
* **Condition**: A query or test that is run when the trigger is activated.
* **Action**: A procedure that is executed when the trigger is activated and its condition is true.

Eg: The trigger called init count initializes a counter variable before every execution of an INSERT statement that adds tuples to the Students relation. The trigger called incr count increments the counter for each inserted tuple that satisﬁes the condition age < 18.

CREATE TRIGGER init count BEFORE INSERT ON Students /\* **Event** \*/ DECLARE

count INTEGER;

BEGIN /\* **Action** \*/

count := 0;

END

CREATE TRIGGER incr count AFTER INSERT ON Students /\* **Event** \*/ WHEN (new.age < 18) /\* **Condition**\*/ FOR EACH ROW

BEGIN /\* **Action** \*/

count:=count+1;

END

#### UNIT-III

**Overview :**

Only construction of the tables is not only the efficient data base design. Solving the redundant data problem is the efficient one. For this we use functional dependences. And normal forms those will be discussed in this chapter.

**Contents :**

* Schema refinement
* Use of Decompositions
* Functional dependencies
* Normal forms
* Multi valued dependencies

**Introduction To Schema Refinement**

We now present an overview of the problems that schema reﬁnement is intended to address and a reﬁnement approach based on decompositions. Redundant storage of information is the root cause of these problems. Although decomposition can eliminate redundancy, it can lead to problems of its own and should be used with caution.

**Problems Caused by Redundancy**

Storing the same information **redundantly**, that is, in more than one place within a database, can lead to several problems:

* **Redundant storage:** Some information is stored repeatedly.
* **Update anomalies:** If one copy of such repeated data is updated, an inconsistency is created unless all copies are similarly updated.
* **Insertion anomalies:** It may not be possible to store some information unless some other information is stored as well.
* **Deletion anomalies:** It may not be possible to delete some information without losing some other information as well.

**Use of Decompositions**

Redundancy arises when a relational schema forces an association between attributes that is not natural. Functional dependencies can be used to identify such situations and to suggest reﬁnements to the schema. The essential idea is that many problems arising from redundancy can be addressed by replacing a relation with a collection of ‘smaller’ relations. Each of the smaller relations contains a subset of the attributes of the original relation. We refer to this process as decomposition of the larger relation into the smaller relations.

Problems Related to Decomposition

Decomposing a relation schema can create more problems than it solves. Two important questions must be asked repeatedly:

1. Do we need to decompose a relation?
2. What problems (if any) does a given decomposition cause?

To help with the ﬁrst question, several normal forms have been proposed for relations. If a relation schema is in one of these normal forms, we know that certain kinds of problems cannot arise. Considering the normal form of a given relation schema can help us to decide whether or not to decompose it further. If we decide that a relation schema must be decomposed further, we must choose a particular decomposition.

With respect to the second question, two properties of decompositions are of particular interest. The lossless-join property enables us to recover any instance of the decomposed relation from corresponding instances of the smaller relations. The dependency preservation property enables us to enforce any constraint on the original relation by simply enforcing some constraints on each of the smaller relations. That is, we need not perform joins of the smaller relations to check whether a constraint on the original relation is violated.

**Normalization:**

In general, database normalization involves splitting tables with columns that have different types of data ( and perhaps even unrelated data) into multiple table, each with fewer columns that describe the attributes of a single concept of physical object or being.

The goal of normalization is to prevent the problems ( called modification anomalie) that plague a poorly designed relation (table).

Suppose, for example, that you have a table with resort guest ID numbers, activities the guests have signed up to do, and the cost of each activity – all together in the following GUEST – ACTIVITY-COST table:

Each row in the table represents a guest that has signed up for the named activity and paid the specified cost. Assuming that the cost depends only on the activity that is, a specific activity costs the same for all guests if you delete the row for GUEST – ID 2587, you lose not only the fact that guest 2587 signed up for scuba diving, but also the fact that scuba diving costs $ 250.00 per outing. This is called a deletion anomaly – when you delete a row, you lose more information than you intended to remove.

In the current example, a single deletion resulted in the loss of information on two entities what activity a guest signed up to do and how much a particular activity costs.

Now, suppose the resort adds a new activity such as horseback riding. You cannot enter the activity name ( horseback riding) or cost ($190.00) in to the table until a guest decides to sign up for it. The unnecessary restriction of having to wait until someone signs up for an activity before you can record its name and cost is called an insertion anomaly.

In the current example, each insertion adds facts about two entities. Therefore, you cannot INSERT a fact about one entity until you have an additional fact about the other entity. Conversely, each deletion removes facts about two entities. Thus, you cannot DELETE the information about one entity while leaving the information about the other in table.

You can eliminate modification anomalies through normalization – that is, splitting the single table with rows that have attributes about two entities into two tables, each of which has rows with attributes that describe a single entity.

You will be ablve to remove the aromatherapy appointment for guest 1269 without losing the fact that an aromatherapy session costs $75.00. Similarly, you can now add the fact that horseback riding costs $

190.00 per day to the ACTIVITY – COST table without having to wait for a guest to sign up for the activity.

During the development of relational database systems in the 1970s, relational theorists kept discovering new modification anomalies. Some one would find an anomaly, classify it, and then figure out a way to prevent it by adding additional design criteria to the definition of a “well formed relation. These design criteria are known as normal forms. Not surprisingly E.F codd (of the 12 rule database definition fame), defined the first, second, and third normal forms, (INF, 2NF, and 3NF).

After Codd postulated 3 NF, relational theorists formulated Boyce-codd normal form (BCNF) and then fourth normal form (4NF) and fifth normal form (5NF)

First Normal form :

Normalization is a processes by which database designers attempt to eliminate modification anomalies such as the :

* Deletion anomaly

The iniability to remove a single fact from a table without removing other (unrelated) facts you want to keep.

* Insertion anomaly

The inability to insert one fact without inserting another ( and some times, unrelated) fact.

* Update anomaly.

Changing a fact in one column creates a false fact in another set of columns. Modification anomalies are a result of functional dependencies among the columns in a row ( or tuple, to use the precise relational database term.

A functional dependency means that if you know the value in one column or set of columns, you can always determine the value of another. To put the table in first normal form (INF) you could break up the student number list in the STUDENTS column of each row such that each row had only one of the student Ids in the STUDENTS column. Doing so would change the table’s structure and rows to : The value given by the combination (CLASS, SECTION, STUDENT) is the composite key for the table because it makes each row unique and all columns atomic. Now that each the table in the current example is in INF, each column has a single, scalar value.

Unfortunately, the table still exhibits modification anomalies:

Deletion anomaly :

If professor SMITH goes to another school and you remove his rows from the table, you also lose the fact that STUDENTS 1005, 2110 and 3115 are enrolled in a history class.

Insertion anomaly :

If the school wants to add an English Class (EI00), it cannot do so until a student signs up for the course ( Remember, no part of a primary key can have a NULL value).

Update anomaly :

If STUDENT 4587 decides to sign up for the SECTION 1, CS100 CLASS instead of his math class, updating the Class and section columns in the row for STUDENT 4587 to reflect the change will cause the table to show TEACHER RAWL INS as being in both the MATH and the COMP-SCI departments.

Thus, ‘flattening’ a table’s columns to put it into first normal form (INF) does not solve any of the modification anomalies

All it does is guarantee that the table satisfies the requirements for a table defined as “relational” and that there are no multi valued dependencies between the columns in each row.

Second Normal Form :

The process of normalization involves removing functional depencies between columns n order to eliminate the modification anomalies caused by these dependencies.

Putting a table in first normal form (INF) requires removing all multi valued dependencies.

When a table is in second normal form, it must be in first normal form (no multi valued dependencies and have no partial key dependencies.

A partial key dependency is a situation in which the value in part of a key can be used to determine the value of another attribute ( column)

Thus, a table is in 2NF when the value in all nonkey columns depends on the entire key. Or, said another way, you cannot determine the value of any of the columns by using part of the key.

With (CLASS, SECTION, STUDENT) as its primary key. If the university has two rules about taking classes no student can sign up for more than one section of the same class, and a student can have only one major then the table, while in 1 NF, is not in 2NF.

Given the value of (STUDENT, COURSE) you can determine the value of the SECTION, since no student can sign up for two sections of the same course. Similarly since students can sign up for only one major, knowing STUDENT determines the value of MAJOR. In both instances, the value of a third column can be deduced (or is determined) by the value in a portion of the key (CLASS, SECTION, STUDENT) that makes each row unique.

To put the table in the current example in 2NF will require that it be split in to three tables described by :

Courses (Class, Section, Teacher, Department)

PRIMARY KEY (Class, Section)

Enrollment (Student, Class, Section)

PRIMARY KEY (Student, class)

Students (student, major)

PRIMARY KEY (Student)

Unfortunately, putting a table in 2NF does not eliminate modification anomalies.

Suppose, for example, that professor Jones leaves the university. Removing his row from the COURSES table would eliminate the entire ENGINEERING department, since he is currently the only professor in the department.

Similarly, if the university wants to add a music department, it cannot do so until it hires a professor to teach in the department.

Understanding Third Normal Form :

To be a third normal form (3NF) a table must satisfy the requirements for INF (no multi valued dependencies) and 2NF ( all nonkey attributes must depend on the entire key). In addition, a table in 3NF has no transitive dependencies between nonkey columns.

Given a table with columns, (A,B,C) a transitive dependency is one in which a determines B, and B determines C, therefore A determines C, or, expressed using relational theory notation :

If AB and BC then A C.

When a table is in 3NF the value in every non key column of the table can be determined by using the entire key and only the entire key,. Therefore, given a table in 3NF with columns (A,B,C) if A is the PRIMARY KEY, you could not use the value of B ( a non key column) to determine the value of a C ( another non key column). As such, A determines B(A B), and A determines C(C). However, knowing the value of column B does not tell you have value in column C that is, it is not the case that BC.

Suppose, for example, that you have a COURSES tables with columns and PRIMARY KEY described by

Courses (Class, section, teacher, department , department head)

PRIMARY KEY (Class, Section)

That contains the Data :

(----------A--------- (B) (C) (D)

Class Section Teacher Dept. Dept. Head

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| H100 |  | Smith | History Smith |  |
| H1002 |  | Riley | History Smith |
| CS100 | 1 | Bowls | Comp.Sci | Peroit |
| M2003 |  | Rawlins | Math | Hastings |
| M2002 |  | Brown | Math | Hastings |
| M2004 |  | Riley | Math | Hastings |
| E1001 |  | Jones | Engg. | Jones |

Given that a TEACHER can be assigned to only one DEPARTMENT and that a DEPARTMENT can have only one department head, the table has multiple transitive dependencies.

For example, the value of TEACHER is dependant on the PRIMARY KEY (CLASS, SECTION), since a particular SECTION of a particular CLASS can have only one teacher that is A B. Moreover, since a TEACHER can be in only one DEPARTMENT, the value in DEPARTMENT is dependant on the value in TEACHER that is BC. However, since the

PRIMARY KEY (CLASS, SECTION) determines the value of TEACHER, it also determines the value of DEPARTMENT that is A C. Thus, the table exhibits the transitive dependency in which A B and BC, therefore A C.

The problem with a transitive dependency is that it makes the table subject to the deletion anomaly. When smith retires and we remove his row from the table, we lose not only the fact that smith taught SECTION 1 of H100 but also the fact that SECTION 1 of H100 was a class that belonged to the HISTORY department.

To put a table with transitive dependencies between non key columns into 3 NF requires that the table be split into multiple tables. To do so for the table in the current example, we would need split it into tables, described by :

Courses (Class, Section, Teacher)

PRIMARY KEY (class, section)

Teachers (Teacher, department)

PRIMARY KEY (teacher)

Departments (Department, Department head)

PRIMARY KEY (department )

#### UNIT-IV

**Overview:**

In this unit we introduce two topics first one is concurrency control. The stored data will be accessed by the users so if any two or users try to access same data at a time it may raise the problem of data inconsistency to solve that concurrency control methods are invented. Recovery is used to maintain the data without loss when the problem of power failure, software failure and hardware failure.

**Contents:**

Concepts of transactions and schedules Lock based concurrency control Crash Recovery

Introduction to crash recovery Log recovery

Check pointing ARIES

**Transactions**

Collections of operations that form a single logical unit of work are called Transactions. A database system must ensure proper execution of transactions despite failures – either the entire transaction executes, or none of it does.

A transaction is a unit of program execution that accesses and possibly updates various data items. Usually, a transaction is initiated by a user program written in a high level data manipulation language or programming language ( for example SQL, COBOL, C, C++ or JAVA), where it is delimited by statements ( or function calls) of the form Begin transaction and end transaction. The transaction consists of all operations executed between the begin transaction and end transaction.

To ensure integrity of the data, we require that the database system maintain the following properties of the transaction.

Atomicity:

Either all operations of the transaction are reflected properly in the database, or non are .

Consistency :

Execution of a transaction in isolation ( that is, with no other transaction executing concurrently) preserves the consistency of the database.

Isolation :

Even though multiple transactions may execute concurrently, the system guarantees that, for every pair of transaction Ti and Tj, ti appears to Ti that either Tj finished execution before Ti started, or Tj started execution after Ty finished. Thus, each transaction is unaware of other transactions executing concurrently in the system.

Durability :

After a transaction completes successfully, the changes it has made to the database persist, even if there are system failures.

These properties are often called the ACID properties, the acronym is derived from the first letter of each of the four properties.

To gain a better understanding of ACID properties and the need for them, consider a simplified banking system consisting of several accounts and a set of transactions that access and update those accounts.

Transactions access data using two operations :

* Read (X) which transfers the data item X from the database to a local buffer belonging to the transaction that executed the read operation
* Write (X), which transfers the data item X from the local buffer of the transaction that executed the write back to the database.

In a real database system, the write operation does not necessarily result in the immediate update of the data on the disk; the write operation may be temporarily stored in memory and executed on the disk later.

For now, however, we shall assume that the write operation updates the database immediately.

Let Ty be a transaction that transfers $50 from account A to account B. This transaction can be defined as

Ti : read (A);

A; = A-50;

Write (A);

Read (B); B:=B+50;

Write (B).

Let us now consider each of the ACID requirements.

Consistency :

Execution of a transaction in isolation ( that is, with no other transaction executing concurrently) preserves the consistency of the database.

The consistency requirement here is that the sum of A and B be unchanged by the execution of the transaction. Without the consistency requirement, money could be created or destroyed by the transaction. It can be verified easily that, if the database is consistent before an execution of the transaction, the database remains consistent after the execution of the transaction.

Ensuring consistency for an individual transaction is the responsibility of the application programmer who codes the transaction. This task may be facilitated by automatic testing of integrity constraints.

Atomicity :

Suppose that, just before the execution of transaction Ty the values of accounts A and B are $1000 and

$2000, respectively.

Now suppose that, during the execution of transaction Ty, a failure occurs that prevents Ti from completing its execution successfully.

Examples of such failures include power failures, hardware failures, and software errors

Further, suppose that the failure happened after the write (A) operation but before the write (B) operation. In this case, the values of amounts A and B reflected in the database are $950 and $2000. The system destroyed $50 as a result of this failure.

In particular, we note that the sum A + B is no longer preserved. Thus, because of the failure, the state of the system no longer reflects a real state of the world that the database is supposed to capture. WE term such a state in inconsistent state. We must ensure that such inconsistencies are not visible in a database system.

Note, however, that the system must at some point be in an inconsistent state. Even if transaction Ty is executed to completion, there exists a point at which the value of account A is $ 950 and the value of account B is $2000 which is clearly an inconsistent state.

This state, however is eventually replaced by the consistent state where the value of account A is $ 950, and the value of account B is $ 2050.

Thus, if the transaction never started or was guaranteed to complete, such an inconsistent state would not be visible except during the execution of the transaction.

That is the reason for the atomicity requirement:

If the atomicity property is present, all actions of the transaction are reflected in the database or none are.

The basic idea behind ensuring atomicity is this:

The database system keeps track ( or disk) of the old values of any data on which a transaction performs a write, and, if the transaction does not complete its execution, the database system restores the old values to make it appear as though the transaction never executed.

Ensuring atomicity is the responsibility of the database system itself; specifically, it is handed by a component called the transaction management component. ]

Durability :

Once the execution of the transaction completes successfully, and the user who initiated the transaction has been notified that the transfer of funds has taken place, it must be the case that no system failure will result in a loss of data corresponding to this transfer of funds.

The durability property guarantees that, once a transaction completes successfully, all the updates that it carried out on the data base persist, even if there is a system failure after the transaction complete execution.

We assume for now that a failure of the computer system may result in loss of data in main memory, but data written to disk are never lost. We can guarantee durability by ensuring that either

* The updates carried out by the transaction have been written to disk before the transaction completes.
* Information about the updates carried out by the transaction and written to disk is sufficient to enable the database to reconstruct the updates when the database system is restarted after the failure.

Ensuring durability is the responsibility of a component of the database system called the recovery management component. The transaction management component and the recovery management component the closely related.

Isolation ;

Even if the consistency and atomicity properties are ensured for each transaction, if several transactions are executed concurrently, their operations may interleave in some undesirable way, resulting in an inconsistent state.

For example, as we saw earlier, the database is temporarily inconsistent while the transaction to transfer funds from A to B is executing, with the deducted total written to A and the increased total yet to be written to B.

If a second concurrently running transaction reads A and B at this intermediate point and computes A + B it will observe an inconsistent value. Furthermore, if this second transaction then performs updates on A and B based on the inconsistent values that it read, the database may be left in an inconsistent state even after both transactions have completed.

A way to avoid the problem of concurrently executing transactions is to execute transactions serially that is, one after the other. However, concurrent execution of transactions provides significant performance benefits.

Other solutions have therefore been developed; they allow multiple transactions to execute concurrently.

The isolation property of a transaction ensures that the concurrent execution of transactions results in a system state that is equivalent to a state that could have been obtained had these transactions executed one at a time in some order.

Ensuring the isolation property is the responsibility of a component of the database system called the concurrency control component.

Transaction state :

In the absence of failures, all transactions complete successfully. A transaction may not always complete its execution successfully. Such a transaction is termed aborted. If we are to ensure the atomicity property, an aborted transction must have no effect on the state of the database.

Thus, any changes that the aborted transaction made to the database must be undone. Once the changes caused by an aborted transaction have been undone, we say that the transaction has been rolled back. It is part of the responsibility of the recovery scheme to manage transaction aborts.

A transaction that completes its execution successfully is said to be committed. A committed transaction that has performed updates transforms the database into a new consistent state, which must persist even if there is a system failure.

Once a transction has committed, we cannot undo its effects by aborting it. The only way to undo the effects of committed transaction is to execute a compensating transaction. For instance, if a transaction added $20 to an account, the compensating transaction would subtract $20 from the account. However, it is not always possible to create such a compensating transaction. Therefore, the responsibility of writing and executing a compensating transaction is left to the user, and is not handled by the database system.

By successful completion of a transaction, A transaction must be in one of the following states :

Active :

The initial state ; the transaction stays in this state while it is executing

Partially committed :

After the final statement has been executed

Failed :

After the discovery that normal execution can no longer proceed

Aborted :

After the transaction has been rolled back and the database has been restrored to its state prior to the start of the transaction

Committed:

After successful completion

We say that a transaction has committed nly if it has entered the committed state. Similarly, we say that a transaction has aborted only if it has entered the aborted state. A transaction is said to have terminated if has either committed or aborted.

A transaction starts in the active state. When it finishes its final statement, it enters the partially committed state. At this point, the transaction has completed its execution, but it is still possible that it may have to be aborted, since the actual output may still be termporarily residing in main momory, and thus a hardware failure may preclude its successful completion.

The database system then writes out enough information to disk that, even in the event of a failure, the updates performed by the transaction can be recreated when the system restarts after the failure. When the last of this information is written out, the transaction enters the committed state.

A transaction enters the filed state after the system determines that the transaction can no longer proceed with its normal execution ( for example, because of hard ware or logical errors) such a transaction must be rolled back. Then, it enters the aborted state. At this point, the system has two options.

* It can restart the transaction, but only if the transaction was aborted as a result of some hardware or software error that was not created through the internal logic of the transaction. A restarted transaction is considered to be a new transaction.
* It can kill the transaction. It usually does so because of some internal logical error that can be corrected only by rewriting the application program, or because the input was bad, or because the desired data were not found in the database.

We must be cautious when dealing with observable external writes, such as writes to a terminal or printer. Once such a write has occurred, it cannot be erased, since it may have been seen external to the database system. Most systems allows such writes to take place only after the transaction has entered the committed state.

**Lock-Based Concurrency Control**

ADBMS must be able to ensure that only serializable, recoverable schedules are allowed, and that no actions of committed transactions are lost while undoing aborted transactions. A DBMS typically uses a locking protocol to achieve this. A locking protocol is a set of rules to be followed by each transaction, in order to ensure that even though actions of several transactions might be interleaved, the net eﬀect is identical to executing all transactions in some serial order.

Strict Two-Phase Locking(Strict2PL):

The most widely used locking protocol, called Strict Two-Phase Locking, or Strict2PL, has two rules. The ﬁrst rule is

1.If a transaction T wants to read an object, it ﬁrst requests a shared lock on the object.

Of course, a transaction that has an exclusive lock can also read the object; an additional shared lock is not required. A transaction that requests a lock is suspended until the DBMS is able to grant it the requested lock. The DBMS keeps track of the locks it has granted and ensures that if a

transaction holds an exclusive lock on an object no other transaction holds a shared or exclusive lock on the same object.

The second rule in Strict2PL is:

(2)All locks held by a transaction are released when the transaction is completed.

**Multiple-Granularity Locking**

Another specialized locking strategy is called multiple-granularity locking, and it allows us to eﬃciently set locks on objects that contain other objects. For instance, a database contains several ﬁles , a ﬁle is a collection of pages , and a page is a collection of records . A transaction that expects to access most of the pages in a ﬁle should probably set a lock on the entire ﬁle, rather than locking individual pages as and when it needs them. Doing so reduces the locking overhead considerably. On the other hand, other transactions that require access to parts of the ﬁle — even parts that are not needed by this transaction are blocked. If a transaction accesses relatively few pages of the ﬁle, it is better to lock only those pages. Similarly, if a transaction accesses ever alrecords on a page, it should lock the entire page, and if it accesses just a few records, it should lock just those records.

The question to be addressed is how a lock manager can eﬃciently ensure that a page, for example, is not locked by a transaction while an other transaction holds a conﬂicting lock on the ﬁle containing the page.

The **recovery manager** of a DBMS is responsible for ensuring two important properties of transactions: atomicity and durability. It ensures atomicity by undoing the actions of transactions that do not commit and durability by making sure that all actions of committed transactions survive **system crashes**, (e.g., a core dump caused by a bus error) and **media failures** (e.g., a disk is corrupted).

**The Log**

The log, sometimes called the **trail** or **journal**, is a history of actions executed by the DBMS. Physically, the log is a ﬁle of records stored in stable storage, which is assumed to survive crashes; this durability can be achieved by maintaining two or more copies of the log on diﬀerent disks, so that the chance of all copies of the log being simultaneously lost is negligibly small.

The most recent portion of the log, called the **log tail**,is kept in main memory and is periodically forced to stable storage. This way, log records and data records are written to disk at the same granularity.

Every **log record** is given a unique id called the **log sequence number (LSN)**. As with any record id, we can fetch a log record with one disk access given the LSN. Further, LSNs should be assigned in monotonically increasing order; this property is required for the ARIES recovery algorithm. If the log is a sequential ﬁle, in principle growing indeﬁnitely, the LSN can simply be the address of the ﬁrst byte of the log record.

A log record is written for each of the following actions:

**Updating a page**: After modifying the page, an update type record is appended to the log tail. The page LSN of the page is then set to the LSN of the update log record.

**Commit**: When a transaction decides to commit, it **force-writes** a commit type log record containing the transaction id. That is, the log record is appended to the log, and the log tail is written to stable storage, up to and including the commit record.

The transaction is considered to have committed at the instant that its commit log record is written to stable storage

**Abort**: When a transaction is aborted, an abort type log record containing the transaction id is appended to the log, and Undo is initiated for this transaction

**End**: As noted above, when a transaction is aborted or committed, some additional actions must be taken beyond writing the abort or commit log record. After all these additional steps are completed, an end type log record containing the transaction id is appended to the log.

**Undoing an update:** When a transaction is rolled back (because the transaction is aborted, or during recovery from a crash), its updates are undone. When the action described by an update log record is undone, a compensation log record,or CLR, is written.

Other Recovery-Related Data Structures

In addition to the log, the following two tables contain important recovery-related information:

**Transaction table:** This table contains one entry for each active transaction. The entry contains the transaction id, the status, and a ﬁeld called **lastLSN**, which is the LSN of the most recent log record for this transaction. The **status** of a transaction can be that it is in progress, is committed, or is aborted.

**Dirty page table:** This table contains one entry for each dirty page in the buﬀer pool, that is, each page with changes that are not yet reﬂected on disk. The entry contains a ﬁeld **recLSN**, which is the LSN of the ﬁrst log record that caused the page to become dirty. Note that this LSN identiﬁes the earliest log record that might have to be redone for this page during restart from a crash.

**Checkpoint**

A **checkpoint** is like a snapshot of the DBMS state, and by taking checkpoints periodically, as we will see, the DBMS can reduce the amount of work to be done during restart in the event of a subsequent crash.

**Introduction To ARIES**

ARIES is a recovery algorithm that is designed to work with a steal, no-force approach. When the recovery manager is invoked after a crash, restart proceeds in three phases:

1. **Analysis:** Identiﬁes dirty pages in the buﬀer pool and active transactions at the time of the crash.
2. **Redo:** Repeats all actions, starting from an appropriate point in the log, and restores the database state to what it was at the time of the crash.
3. **Undo:** Undoes the actions of transactions that did not commit, so that the database reﬂects only the actions of committed transactions.

There are three main principles behind the ARIES recovery algorithm:

**Write-ahead logging:** Any change to a database object is ﬁrst recorded in the log; the record in the log must be written to stable storage before the change to the database object is written to disk.

**Repeating history during Redo:** Upon restart following a crash, ARIES retraces all actions of the DBMS before the crash and brings the system back to the exact state that it was in at the time of the crash. Then, it undoes the actions of transactions that were still active at the time of the crash.

**Logging changes during Undo:** Changes made to the database while undoing a transaction are logged in order to ensure that such an action is not repeated in the event of repeated restarts.

#### UNIT-V

**Overview:**

In this Unit we discuss about Data storage and retrieval. It deals with disk, file, and file system structure, and with the mapping of relational and object data to a file system. A variety of data access techniques are presented in this unit , including hashing, B+ - tree indices, and grid file indices. External sorting which will be done in secondary memory is discussed here.

**Contents:**

**File Organisation :**

* Storage Media
* Buffer Management
* Record and Page formats
* File organizations
* Various kinds of indexes and external storing
* ISAM
* B++ trees
* Extendible vs. Linear Hashing.

This chapter internals of an RDBMS

The lowest layer of the software deals with management of space on disk, where the data is to be stored. Higher layers allocate, deal locate, read and write pages through (routines provided by) this layer, called the disk space manager.

On top of the disk space manager, we have the buffer manager, which partitions the available main memory into a collection of pages of frames. The purpose of the buffer manager is to bring pages in from disk to main memory as needed in response to read requests from transactions.

The next layer includes a variety of software for supporting the concepts of a file, which, in DBMS, is a collection of pages or a collection of records. This layer typically supports a heap file, or file or unordered pages, as well as indexes. In addition to keeping track of the pages in a file, this layer organizes the information within a page.

The code that implements relational operators sits on top of the file and access methods layer. These operators serve as the building blocks for evaluating queries posed against the data.

When a user issues a query, the query is presented to a query optimizer, whish uses information about how the data is stored to produce an efficient execution plan for evaluating the query. An execution plan is usually represented as tree of relational operators ( with annotations that contain additional detailed information about which access methods to use.

Data in a DBMS is stored on storage devices such as disks and tapes ; the disk space manager is responsible for keeping tract of available disk space. The file manager, which provides the abstraction of a file of records to higher levels of DBMS code, requests to the disk space manager to obtain and relinquish space on disk.

When a record is needed for processing, it must be fetched from disk to main memory. The page on which the record resides is determined by the file manager ( the file manager determines the page on which the record resides)

Sometimes, the file manager uses auxiliary data structures to quickly identify the page that contains a desired record. After identifying the required page, the file manager issues a request for the page to a layer of DBMS code called the buffer manager. The buffer manager fetches requested pages from disk into a region of main memory called the buffer pool, and informs the file manager.

**The Memory Hierarchy:**

Memory in a computer system is arranged in a hierarchy. At the top, we have primary storage, which consists of cache and main memory, and provides very fast access to data.

Then comes secondary storage, which consists of slower devices such as magnetic disks.

Tertiary storage is the slowest class of storage devices For Ex: Tapes

**DISKS :**

**Buffer Manager :**

In terms of the DBMS architecture the buffer manager is the software layer that is responsible for bringing pages from disk to main memory as needed. The buffer manager manages the available main memory by partitioning it into a collection of pages, which we collectively refer to as, the buffer pool. The main memory pages in the buffer pool are called frames. It is convenient to think of them as slots that can hold a page ( that usually resides disk or other secondary storage media). Checks the buffer pool to see if it contains the requested page.

If the page is not in the pool, the buffer manager brings it in as follows:

* Chooses a frame for replacement, using the replacement policy
* If the dirty bit for the replacement frame is on, writes the page it contains to disk ( that is, the disk copy of the page is overwritten with the concents of the frame).
* Reads the requested page into the replacement frame.

Buffer replacement policies :

* Least recently used
* Clock replacement
1. Least Recently used :

The best known replacement policy is least recently used (LRU). This can be implemented in the buffer manager using a queue of pointers to frames with pin-count 0. A frame is added to the end of the queue when it becomes a candidate for replacem ent ( that is, when the pin-count goes to 0). The page chosen for replacement is the one in the frame at the head of the queue.

1. Clock Policy :

A variant of LRU, called clock replacement, has similar behaviour but less over head.

Other replacement policies include first in first out (FIF)) and most recently used (MRU) which also entail overhead similar to LRU, and random, among others.

**Record Formats :**

Fixed – Length Records :

In a fixed length record, each field has a fixed length ( that is, the value in this field is of the same length in all records) and the number of fields is also fixed. The fields of a such record can be stored consecutively, and given the address of the record, the address of a particular field can be calculated using information about the lengths of preceding fields, which is available in the system catalog.

Variable – Length Records :

In the relational model, every record in a relation contains the same number of fields. If the number of fields is fixed, a record is of variable length only because some of its fields are of variable length.

**Page Formats :**

How a collection of records can be arranged on a page?

A page as a collection of slots, each of which contains a record. A record is identified by using the pair.

[ page id, slot number]

This identifier is called a record id(or rid), and serves as a ‘pointer’ to a record.

Fixed length records :

If all records on the page are guaranteed to be of the same length, record slots are uniform and can be arranged consecutively within a page. At any instant, some slots are occupied by records, and

others are unoccupied. When a record is inserted into the page, we must locate an empty slot and place the record there. The main issues are how we keep track of empty slots, and how we locate all records on a page. The alternatives hinge on how we handle the deletion of a record.

**Les and Indexes :**

We know how pages can be stored on disk and brought into main memory as needed, and how the space on a page can be organized to store a collection of records.

Page : A collection of records

A file of records is a collection of records that may reside on several pages.

How a collection of pages can be organized as a file.

The basic file structure that we consider stores records in random order, and supports retrieval of all records or retrieval of a particular record specified by its rid. Some times, we want to retrieve records by specifying some condition on the fields of desired records, for example, “Find all employee records with age 35’. To speed up such selections, we can build auxiliary data structure that allow us to …..

Heap files :

The simplest file structure is an unordered file or heap file. The data in the page of a heap file is not ordered in any way, and the only guarantee is that one can retrieve all records in the file by repeated requests for the next record. Every record in the file has a unique rid, and every page in a file is of the same size.

Supported operations on a heap file include create and destroy files, insert a record, delete a record with a given rid, get a record with a given rid, and scan all records in the file. To get or delete a record with a given rid, note that we must be able to find the id of the page containing the record, given the id of the record.

We must keep track of the pages in each help file in order to support scans, and we must keep track of pages that contain free space in order to implement insertion efficiently.

The two internal organizations of heap files are :

* Linked list of pages
* Directory of pages

Linked list of pages :

One possibility is to maintain a heap file as a doubly linked list of pages. The DBMS can remember where the first page is located by maintaining a table of (heap-file-name, page – 1 – addr) pairs in a known location on disk. We call the first page of the file the header page.

An improvement task is to maintain information about empty slots created by deleting a record from the heap file.

This task has two distinct parts

How to keep track of free space within a page and how to keep track of pages that have some free space.

Directory of pages :

An alternative to a linked list of pages is to maintain a directory of pages. The DBMS must remember where the first directory page of each heap file is located. The directory is itself a collection of pages and is shown as a linked list.

Each directory entry identifies a page ( or a sequence of pages) in the heap file. As the heap file grows or shrinks, the number of entries in the directory and possibly the number of pages in the directory itself – grows or shrinks correspondingly. Note that since each directory entry is quite small in comparison to a typical page, the size of the directory is likely to be very small in comparision to the size of the heap file.

Free space can be managed by maintaining a bit per entry, indicating whether the corresponding page has any free space, or a count per entry, indicating the amount of free space on the page. If the file contains variable length records, we can examine the free space count for an entry to determine if the record will fit on the page pointed to by the entry. Since several entries fit on a directory page, we can efficiently search for a data page with enough space to hold a record that is to be inserted.

FILE ORGANIZATIONS AND INDEXING:

A file organization is a way of arranging the records in a file when the file is stored on disk. A file of records is likely to be accessed and modified in a variety of ways, and different of ways arranging the records enable different operations over the file to be carried out efficiently.

For example, if we want to retrieve employee records in alphabetical order, sorting the file by name is a good file organization. On the other hand, if we want to retrieve all employees whose salary is in a given range, sorting employee records by name is not a good file organization.

A DBMS supports several file organization techniques, and an important task of a DBA is to choose a good organization for each file, based on its expected pattern of use.

The three different file organizations are :

* Heap files : Files of randomly ordered are called Heap files.
* Sorted files : these are the files sorted on some field
* Hashed files : Files that are hashed on some fields are called hashed files.

4.1 COST MODEL

The cost model that allows us to estimate the cost ( in terms of execution time ) of different database operations.

The following notation and assumptions in our analysis.

There are B data pages with R records per page. The average time to read or write a disk page is D, and the average time to process a record (e.g. to compare a field value to a selection constant) is C. In the hashed file organization, we will use a function, called a hash function, to map a record into a range of numbers; the time required to apply the hash function to a record is H.

Typical values today are D = 25 milliseconds.

C and H = 1 to 10 microseconds ; we therefore expect the cost of I/O to dominate. This conclusion is supported by current hardware trends, in which CPU speeds are steadily rising, where as disk speeds are not increasing at a similar pace. On the other hand, one should keep in mind that as main memory sizes increase, a must larger fraction of the needed pages are likely to fit in memory, leading to fewer I/O requests.

The number of disk page I/O s can be used as the cost metric.

Two important considerations of cost model are :

The real systems must consider other aspects of cost, such as CPU costs ( and transmission costs in a distributed database).

Since I/O is often (even typically) the dominant component of the cost of database operations, considering I/O costs gives us a good first approximation to he true cost.

A simplistic model in which user count the number of pages that are read from or written to disk as a measure of I/O. In blocked access, disk systems allow user to read a block of contiguous pages in a single I/O request. The cost is equal to the time required to seek the first page in the block and to transfer all pages in the block. Such blocked access can be much cheaper than issuing one I/O request per page in the block, especially if these requests do not follow consecutively.

**COMPARISON OF THREE FILE ORGANISATIONS :**

The costs of some simple operations for three basic file organizations;

Files of randomly ordered records, or heap files; Files sorted on a sequence of fields or sorted files

Fiels that are hashed on a sequence of fields or hashed files

The choice of file organization can have a significant on performance. The choice of an appropriate file organization depends on the following operations.

Scan :

Fetch all records in the file. The pages in the file must be fetched from disk into the buffer pool. There is also a CPU overhead per record for locating the record on the page ( in the pool).

Search with equality selection:

Fetch all records that satisfy an equality selection, for example, “ find the students record for the student with sid 23’ Pages that contain qualifying records must be fetched from disk, and qualifying records must be located within retrieved pages.

Search with Range selection ;

Fetch all records that satisfy a range section, for example, “find all students records with name alphabetically after ‘smith”

Insert :

Insert a given record into the file. We must identify the page in the file into which the new record must be inserted, fetch that page from disk, modify it to include the new record, and then write back the modified page. Depending on the file organization, we may have to fetch, modify and write back other pages as well.

Delete :

Delete a record that is specified using its record identity 9rid). We must identify the page that contains the record, fetch it from disk, modify it, and write it back. Depending on the file organization, we may have to fetch, modify and write back other pages as well.

Heap files :

Files of randomly ordered records are called heap files.

The various operations in heap files are :

Scan :

The cost is B(D+RC) because we must retrieve each of B pages taking time D per page, and for each page, process R records taking time C per record.

Search with Equality selection :

Suppose that user knows in advance that exactly one record matches the desired equality selection, that is, the selection is specified on a candidate key. On average, user must scan half the file, assuming that the record exists and the distribution of values in the search field is uniform.

For each retrieved data page, user must check all records on the page to see if it is the desired record. The cost is 0.5B(D+RC). If there is no record that satisfies the selection then user must scan the entire file to verify it.

Search with Range selection :

The entire file must be scanned because qualifying records could appear anywhere in the file, and does not know how many records exist. The cost is B(D+RC).

Insert : Assume that records are always inserted at the end of the file so fetch the last page in the file, add the record, and write the page back. The cost is 3D+C.

Delete :

First find the record, remove the record from the page, and write the modified page back. For simplicity, assumption is made that no attempt is made to compact the file to reclaim the free space created by deletions. The cost is the cost of searching plus C+D.

The record to be deleted is specified using the record id. Since the page id can easily be obtained from the record it, user can directly read in the page. The cost of searching is therefore D

Sorted files :

The files sorted on a sequence of field are known as sorted files.

The various operation of sorted files are

* Scan : The cost is B(D+RC) because all pages must be examined the order in which records are retrieved corresponds to the sort order.
	1. Search with equality selection:

Here assumption is made that the equality selection is specified on the field by which the file is sorted; if not, the cost is identical to that for a heap file. To locate the first page containing the desired records or records, qualifying records must exists, with a binary search in log 2 B steps. Each step requires a disk I/O two comparisons. Once the page is known the first qualifying record can again be located by a binary search of the page at a cost of Clog2 R. The cost is Dlog2 B + Clog2B. This is significant improvement over searching heap files.

* 1. Search with Range selection :

Assume that the range selection is on the soft field, the first record that satisfies the selction is located as it is for search with equality. Subsequently, data pages are sequentially retrieved until a record is found that does not satisfy the range selection ; this is similar to an equality search with many qualifying records.

* 1. Insert :

To insert a record preserving the sort order, first find the correct position in the file, add the record, and then fetch and rewrite all subsequent pages. On average, assume that the inserted record belong in the middles of the file. Thus, read the latter half of the file and then write it back after adding the new record. The cost is therefore the cost of searching to find the position of the new record plus 2 \* (0.5B(D+RC)), that is, search cost plus B(D+RC)

* 1. Delete :

First search for the record, remove the record from the page, and write the modified page back. User must also read and write all subsequent pages because all records that follow the deleted record must be moved up to compact the free space. The cost is search cost plus B(D+RC) Given the record identify (rid) of the record to delete, user can fetch the page containing the record directly.

Hashed files :

A hashed file has an associated search key, which is a combination of one or more fields of the file. In enables us to locate records with a given search key value quickly, for example, “Find the students record for Joe” if the file is hashed on the name field we can retrieve the record quickly.

This organization is called a static hashed file; its main drawback is that long chains of overflow pages can develop. This can affect performance because all pages ina bucket have to be searched.

The various operations of hashed files are ;



Fig: File Hashed on age,with Index on salary

Scan :

In a hashed file, pages are kept at about 80% occupancy ( in order to leave some space for futue insertions and minimize over flow pages as the file expands). This is achieved by adding a new page to a bucket when each existing page is 80% full, when records are initially organized into a hashed file structure. Thus the number of pages, and therefore the cost of scanning all the data pages, is about 1.25 times the cost of scaning an unordered file, that is, 1.25B(D+RC)

Search with Equality selection :

The hash function associated with a hashed file maps a record to a bucket based on the values in all the search key fields; if the value for anyone of these fields is not specified, we cannot tell which bucket the record belongs to. Thus if the selection is not an equality condition on all the search key fields, we have to scan the entire file.

Search with Range selection :

The harsh structure offers no help at all; even if the range selection is on the search key, the entire file must be scanned. The cost is 1.25 B{D+RC}

Insert :

The appropriate page must be located, modified and then written back. The cost is thus the cost of search plus C+D.

Delete :

We must search for the record, remove it from the page, and write the modified page back. The cost is again the cost of search plus C+D (for writing the modified page ).

Choosing a file organization :

The below table compares I/O costs for three file organizations

* A heap file has good storage efficiency, and supports fast scan, insertion, and deletion of records. However it is slow for searches.
* A stored file also offers good storage efficiency, but insertion and deletion of records is slow. It is quite for searches, and in particular, it is the best structure for range selections.
* A hashed file does not utilize space quite as well as sorted file, but insertions and deletions are fast, and equality selections are very fast. However, the structure offers no support for range selections, and full file scans are title slower; the lower space utilization means that files contain more pages.

**INDEXED SEQUENTIAL ACCESS METHOD (ISAM)**

The potential large size of the index ﬁle motivates the ISAM idea. Building an auxiliary ﬁle on the index ﬁle and so on recursively until the ﬁnal auxiliary ﬁle ﬁts on one page? This repeated construction of a one-level index leads to a tree structure that is illustrated in Figure The data entries of the ISAM index are in the leaf pages of the tree and additional overﬂow pages that are chained to some leaf page. In addition, some systems carefully organize the layout of pages so that page boundaries correspond closely to the physical characteristics of the underlying storage device. The ISAM structure is completely static and facilitates such low-level optimizations.



**Fig** ISAM Index Structure

Each tree node is a disk page, and all the data resides in the leaf pages. This corresponds to an index that uses Alternative (1) for data entries, we can create an index with Alternative (2) by storing the data records in a separate ﬁle and storing key, rid pairs in the leaf pages of the ISAM index. When the ﬁle is created, all leaf pages are allocated sequentially and sorted on the search key value.The non-leaf level pages are then allocated. If there are several inserts to the ﬁle subsequently, so that more entries are

inserted into a leaf than will ﬁt onto a single page, additional pages are needed because the index structure is static. These additional pages are allocated from an overﬂow area. The allocation of pages is illustrated in below Figure.



**Fig**: Page allocation in ISAM

**B+ tree**

A static structure such as the ISAM index suﬀers from the problem that long overﬂow chains can develop as the ﬁle grows, leading to poor performance. This problem motivated the development of more ﬂexible, dynamic structures that adjust gracefully to inserts and deletes. The **B+ tree** search structure, which is widely used, is a balanced tree in which the internal nodes direct the search and the leaf nodes contain the data entries. Since the tree structure grows and shrinks dynamically, it is not feasible to allocate the leaf pages sequentially as in ISAM, where the set of primary leaf pages was static. In order to retrieve all leaf pages eﬃciently, we have to link them using page pointers. By organizing them into a doubly linked list, we can easily traverse the sequence of leaf pages in either direction. This structure is illustrated in Figure.

The following are some of the main characteristics of a B+ tree:

Operations (insert, delete) on the tree keep it balanced.However, deletion is often implemented by simply locating the data entry and removing it, without adjusting the tree as needed to guarantee the 50 percent occupancy, because ﬁles typically grow rather than shrink. Searching for a record requires just a traversal from the root to the appropriate leaf. We will refer to the length of a path from the root to a leaf—any leaf, because the tree is balanced—as the **height** of the tree.



**Fig: structure of B+ Tree**

* + **ADDITIONAL TOPICS**

DBMS Implementation

A database management system handles the requests generated from the SQL interface, producing or modifying data in response to these requests. This involves a multilevel processing system.



Figure : DBMS Execution and Parsing

This level structure processes the SQL submitted by the user or application.

* Parser: The SQL must be parsed and tokenised. Syntax errors are reported back to the user. Parsing can be time consuming, so good quality DBMS implementations cache queries after they have

been parsed so that if the same query is submitted again the cached copy can be used instead. To make the best use of this most systems use placeholders in queries, like:

* SELECT empno FROM employee where surname = ?

The '?' character is prompted for when the query is executed, and can be supplied separately from the query by the API used to inject the SQL. The parameter is not part of the parsing process, and thus once this query is parsed once it need not be parsed again.

* Executer: This takes the SQL tokens and basically translates it into relational algebra. Each relational algebra fragment is optimised, and the passed down the levels to be acted on.
* User: The concept of the user is required at this stage. This gives the query context, and also allows security to be implemented on a per-user basis.
* Transactions: The queries are executed in the transaction model. The same query from the same user can be executing multiple times in different transactions. Each transaction is quite separate.
* Tables : The idea of the table structure is controlled at a low level. Much security is based on the concept of tables, and the schema itself is stored in tables, as well as being a set of tables itself.
* Table cache: Disks are slow, yet a disk is the best way of storing long-term data. Memory is much faster, so it makes sense to keep as much table information as possible in memory. The disk remains synchronised to memory as part of the transaction control system.
* Disks : Underlying almost all database systems is the disk storage system. This provides storage for the DBMS system tables, user information, schema definitions, and the user data itself. It also provides the means for transaction logging.

The 'user' context is handled in a number of different ways, depending on the database system being used. The following diagram gives you an idea of the approach followed by two different systems, Oracle and MySQL.



Figure : Users and Tablespaces

All users in a system have login names and passwords. In Oracle, during the connection phase, a database name must be provided. This allows one Oracle DBMS to run multiple databases, each of which is effectively isolated from each other.

Once a user is connected using a username and password, MySQL places the user in a particular tablespace in the database. The name of the tablespace is independent of the same. In Oracle, tablespaces and usernames are synonymous, and thus you should really be thinking of different usernames for databases that serve different purposes. In MySQL the philosophy is more like a username is a person, and that person may want to do a variety of tasks.

Once in a tablespace, a number of tables are visible, and in each table columns are visible.

In both approaches, tables in other tablespaces can be accessed. MySQL effectively sees a tablespace and a database being the same concept, but in Oracle the two ideas are kept slightly more separate. However, the syntax remains the same. Just as you can access column owner of table CAR, if it is in your own tablespace, by saying

SELECT car.owner FROM car;

You can access table CAR in another tablespace (lets call it vehicles) by saying:

SELECT vehicles.car.owner FROM vehicles.car;

The appearance of this structure is similar in concept to the idea of file directories. In a database the directories are limited to "folder.table.column", although "folder" could be a username, a tablename, or a database, depending on the philosophy of the database management system.

Even then, the concept is largely similar.

**Disk and Memory**

The tradeoff between the DBMS using Disk or using main memory should be understood...

|  |  |
| --- | --- |
| **Issue** | **Main Memory VS Disk** |
| Speed | Main memory is at least 1000 times faster than Disk |
| Storage Space | Disk can hold hundreds of times more information than memory for the same cost |
| Persistence | When the power is switched off, Disk keeps the data, main memory forgets everything |
| Access Time | Main memory starts sending data in nanoseconds, while disk takes milliseconds |
| Block Size | Main memory can be accessed 1 word at a time, Disk 1 block at a time |

The DBMS runs in main memory, and the processor can only access data which is currently in main memory. The handling of the differences between disk and main memory effectively is at the heart of a good quality DBMS.

Disk Arrangements

Efficient processing of the DBMS requests requires efficient handling of disk storage. The important aspects of this include:

* Index handling
* Transaction Log management
* Parallel Disk Requests
* Data prediction

With indexing, we are concerned with finding the data we actually want quickly and efficiently, without having to request and read more disk blocks than absolutely necessary. There are many approaches to this, but two of the more important ones are hash tables and binary trees.

When handling transaction logs, the discussion we have had so far has been on the theory of these techniques. In practice, the separation of data and log is much more blurred. We will look at one technique for implementing transaction logging, known as shadow paging.

Finally, the underlying desire of a good DBMS is to never be in a position where no further work can be done until the disk gives us some data. Instead, by using prediction, prefetching, and parallel disk operations, it is hoped that CPU time becomes the limiting factor.

Hash tables

A Hash table is one of the simplest index structures which a database can implement. The major components of a hash index is the "hash function" and the "buckets". Effectively the DBMS constructs an index for every table you create that has a PRIMARY KEY attribute, like:

CREATE TABLE test (

id INTEGER PRIMARY KEY

,name varchar(100)

);

In table test, we have decided to store 4 rows...

insert into test values (1,'Gordon'); insert into test values (2,'Jim'); insert into test values (4,'Andrew'); insert into test values (3,'John');

The algorithm splits the places which the rows are to be stored into areas. These areas are called buckets. If a row's primary key matches the requirements to be stored in that bucket, then that is where it will be stored. The algorithm to decide which bucket to use is called the hash function. For our example we will have a nice simple hash function, where the bucket number equals the primary key. When the index is created we have to also decide how many buckets there are. In this example we have decided on 4.



Figure : Hash Table with no collisions

Now we can find id 3 quickly and easily by visiting bucket 3 and looking into it. But now the buckets are full. To add more values we will have to reuse buckets. We need a better hash function based on mod 4. Now bucket 1 holds ids (1,5,9...), bucket 2 holds (2,6,10...), etc.



Figure : Hash Table with collisions

We have had to put more than 1 row in some of the buckets. This is called a hash collision. The more collisions we have the longer the collision chain and the slower the system will get. For instance, finding id 6 means visiting bucket 2, and then finding id 2, then 10, and then finally 6.

In DBMS systems we can usually ask for a hash index for a table, and also say how many buckets we thing we will need. This approach is good when we know how many rows there is likely to be. Most systems will handle the hash table for you, adding more buckets over time if you have made a mistake. It remains a popular indexing technique.

Binary Tree

Binary trees is the latest approach to providing indexes. It is much cleverer than hash tables, and attempts to solve the problem of not knowing how many buckets you might need, and that some collision chains might be much longer than others. It attempts to create indexes such that all rows can be found in a similar number of steps through the storage blocks.

The state of the art in binary tree technology is called B+ Trees. With B+ tree, the order of the original data is maintained in its creation order. This allows multiple B+ tree indices to be kept for the same set of data records.

* the lowest level in the index has one entry for each data record.
* the index is created dynamically as data is added to the file.
* as data is added the index is expanded such that each record requires the same number of index levels to reach it (thus the tree stays `balanced').
* the records can be accessed via an index or sequentially.

Each index node in a B+ Tree can hold a certain number of keys. The number of keys is often referred to as the `order'. Unfortunately, `Order 2' and `Order 1' are frequently confused in the database literature. For the purposes of our coursework and exam, `Order 2' means that there can be a maximum of 2 keys per index node. In this module, we only ever consider order 2 B+ trees.

B+ Tree Example



Figure : Completed B+ Tree



Figure : Initial Stages of B+ Tree



Figure : Final Stages of B+ Tree

Index Structure and Access

* The top level of an index is usually held in memory. It is read once from disk at the start of queries.
* Each index entry points to either another level of the index, a data record, or a block of data records.
* The top level of the index is searched to find the range within which the desired record lies.
* The appropriate part of the next level is read into memory from disc and searched.
* This continues until the required data is found.
* The use of indices reduce the amount of file which has to be searched.

Costing Index and File Access

* The major cost of accessing an index is associated with reading in each of the intermediate levels of the index from a disk (milliseconds).
* Searching the index once it is in memory is comparatively inexpensive (microseconds).
* The major cost of accessing data records involves waiting for the media to recover the required blocks (milliseconds).
* Some indexes mix the index blocks with the data blocks, which means that disk accesses can be saved because the final level of the index is read into memory with the associated data records.

Use of Indexes

* A DBMS may use different file organisations for its own purposes.
* A DBMS user is generally given little choice of file type.
* A B+ Tree is likely to be used wherever an index is needed.
* Indexes are generated:
* (Probably) for fields specified with `PRIMARY KEY' or `UNIQUE' constraints in a CREATE TABLE statement.
* For fields specified in SQL statements such as CREATE [UNIQUE] INDEX indexname ON tablename (col [,col]...);
* Primary Indexes have unique keys.
* Secondary Indexes may have duplicates.
* An index on a column which is used in an SQL `WHERE' predicate is likely to speed up an enquiry.
* this is particularly so when `=' is involved (equijoin)
* no improvement will occur with `IS [NOT] NULL' statements
* an index is best used on a column with widely varying data.
* indexing a column of Y/N values might slow down enquiries.
* an index on telephone numbers might be very good but an index on area code might be a poor performer.
* Multicolumn index can be used, and the column which has the biggest range of values or is the most frequently accessed should be listed first.
* Avoid indexing small relations, frequently updated columns, or those with long strings.
* There may be several indexes on each table. Note that partial indexing normally supports only one index per table.
* Reading or updating a particular record should be fast.
* Inserting records should be reasonably fast. However, each index has to be updated too, so increasing the indexes makes this slower.
* Deletion may be slow.
* particularly when indexes have to be updated.
* deletion may be fast if records are simply flagged as `deleted'.

Shadow Paging

The ideas proposed for implementing transactions are prefectly workable, but such an approach would not likely be implemented in a modern system. Instead a disk block transaction technique would more likely be used. This saves much messing around with little pieces of information, while maintaining disk order and disk clustering.

Disk clustering is when all the data which a query would want has been stored close together on the disk. In this way when a query is executed the DBMS can simple "scoop" up a few tracks from the disk and have all the data it needs to complete the query. Without clustering, the disk may have to move over the whole disk surface looking for bits of the query data, and this could be hundreds of times slower than being able to get it all at once. Most DBMS systems perform clustering techniques, either user-directed or automatically.

With shadow paging, transaction logs do not hold the attributes being changed but a copy of the whole disk block holding the data being changed. This sounds expensive, but actually is highly efficient. When a transaction begins, any changes to disk follow the following procedure:

* If the disk block to be changed has been copied to the log already, jump to 3.
* Copy the disk block to the transaction log.
* Write the change to the original disk block.

On a commit the copy of the disk block in the log can be erased. On an abort all the blocks in the log are copied back to their old locations. As disk access is based on disk blocks, this process is fast and simple. Most DBMS systems will use a transaction mechanism based on shadow paging.

Disk Parallelism

When you look at an Oracle database implementation, you do not see one file but several...

ls -sh /u02/oradata/grussell/ 2.8M control01.ctl

* 1. M control02.ctl 2.8M control03.ctl 11M redo01.log 11M redo02.log 11M redo03.log 351M sysaux01.dbf 451M system01.dbf 3.1M temp01.dbf 61M undotbs01.dbf 38M users01.dbf

Each of these files has a separate function in Oracle, and requests can be fired to each of them in parallel. The transaction logs are called redo logs. The activesql interface is stored completely in users01. In my case all the files are in a single directory on a single disk, but each of the files could be on a different disk, meaning that the seek times for each file could be in parallel.

Caching of the files is also going on behind the scenes. For instance, the activesql tables only take up 38MB, and thus can live happly in memory. When queries come in the cache is accessed first, and if there is a need to go to disk then not only is the data requested read, but frequently data nearby that block is also read. This is called prefetching, and is based on the idea that if I need to go to disk for something, I might as well get more than I need. If it turns out that the other stuff is not needed, then not much time or resource was wasted, but if the other stuff is needed in the near future, the DBMS gains a huge performance hit. Algorithms help to steer the preloading strategy to its best possible probability of loading useful data.

Lastly, the maximum performance of a database is achieved only when there are many queries which can run in parallel. In this case data loaded for one query may satisfy a different query. The speed of running 1 query on an empty machine may not be significantly different from running 100 similar queries on the machine.

DISTRIBUTED DATABASE

A **distributed database** is a [database](http://en.wikipedia.org/wiki/Database) in which [storage devices](http://en.wikipedia.org/wiki/Computer_storage) are not all attached to a common processing unit such as the [CPU](http://en.wikipedia.org/wiki/CPU)[,[1]](http://en.wikipedia.org/wiki/Distributed_database#cite_note-1) controlled by a **distributed** [**database management system**](http://en.wikipedia.org/wiki/Database_management_system) (together sometimes called a **distributed database system**). It may be stored in multiple [computers](http://en.wikipedia.org/wiki/Computers), located in the same physical location; or may be dispersed over a [network](http://en.wikipedia.org/wiki/Computer_network) of interconnected computers. Unlike parallel systems, in which the processors are tightly coupled and constitute a single database system, a distributed database system consists of loosely-coupled sites that share no physical components.

System administrators can distribute collections of data (e.g. in a database) across multiple physical locations. A distributed database can reside on [network servers](http://en.wikipedia.org/wiki/Network_servers) on the [Internet](http://en.wikipedia.org/wiki/Internet), on corporate [intranets](http://en.wikipedia.org/wiki/Intranets) or [extranets,](http://en.wikipedia.org/wiki/Extranets) or on other company [networks](http://en.wikipedia.org/wiki/Computer_network). Because they store data across multiple computers, distributed databases can improve performance at [end-user](http://en.wikipedia.org/wiki/End-user) worksites by allowing transactions to be processed on many machines, instead of being limited to one.[[2]](http://en.wikipedia.org/wiki/Distributed_database#cite_note-obrien-2)

Two processes ensure that the distributed databases remain up-to-date and current: [replication](http://en.wikipedia.org/wiki/Replication_%28computing%29) and [duplication.](http://en.wikipedia.org/wiki/Duplication)

* Replication involves using specialized software that looks for changes in the distributive database. Once the changes have been identified, the replication process makes all the databases look the same. The replication process can be complex and time-consuming depending on the size and number of the distributed databases. This process can also require a lot of time and computer resources.
* Duplication, on the other hand, has less complexity. It basically identifies one database as a [master](http://en.wikipedia.org/wiki/Master-slave_%28technology%29) and then duplicates that database. The duplication process is normally done at a set time after hours. This is to ensure that each distributed location has the same data. In the duplication process, users may change only the master database. This ensures that local data will not be overwritten.

Both replication and duplication can keep the data current in all distributive locations.[[2]](http://en.wikipedia.org/wiki/Distributed_database#cite_note-obrien-2)

Besides distributed database replication and fragmentation, there are many other distributed database design technologies. For example, local autonomy, synchronous and asynchronous distributed database technologies. These technologies' implementation can and does depend on the needs of the business and the sensitivity/[confidentiality](http://en.wikipedia.org/wiki/Confidentiality) of the data stored in the database, and hence the price the business is willing to spend on ensuring [data security,](http://en.wikipedia.org/wiki/Data_security) [consistency](http://en.wikipedia.org/wiki/Data_consistency) and [integrity.](http://en.wikipedia.org/wiki/Data_integrity)

When discussing access to distributed databases, [Microsoft](http://en.wikipedia.org/wiki/Microsoft) favors the term **distributed query**, which it defines in protocol-specific manner as "[a]ny SELECT, INSERT, UPDATE, or DELETE statement that references tables and rowsets from one or more external OLE DB data sources"[.[3]](http://en.wikipedia.org/wiki/Distributed_database#cite_note-3) [Oracle](http://en.wikipedia.org/wiki/Oracle_Database) provides a more language-centric view in which distributed queries and [distributed transactions](http://en.wikipedia.org/wiki/Distributed_transaction) form part of **distributed SQL**.[4]

**Architecture**

A database user accesses the distributed database through:

Local applications

applications which do not require data from other sites.

Global applications

applications which do require data from other sites.

A **homogeneous distributed database** has identical software and hardware running all databases instances, and may appear through a single interface as if it were a single database. A **heterogeneous distributed database** may have different hardware, operating systems, database management systems, and even data models for different databases.

###### Homogeneous DDBMS

In a homogeneous distributed database all sites have identical software and are aware of each other and agree to cooperate in processing user requests. Each site surrenders part of its autonomy in terms of right to change schema or software. A homogeneous DDBMS appears to the user as a single system. The homogeneous system is much easier to design and manage. The following conditions must be satisfied for homogeneous database:

* The operating system used, at each location must be same or compatible.[*according to whom?*][*further explanation needed*]
* The data structures used at each location must be same or compatible.
* The database application (or DBMS) used at each location must be same or compatible.

###### Heterogeneous DDBMS

In a heterogeneous distributed database, different sites may use different schema and software. Difference in schema is a major problem for query processing and transaction processing. Sites may not be aware of each other and may provide only limited facilities for cooperation in transaction processing. In heterogeneous systems, different nodes may have different hardware & software and data structures at various nodes or locations are also incompatible.

Different computers and operating systems, database applications or data models may be used at each of the locations. For example, one location may have the latest relational database management technology, while another location may store data using conventional files or old version of database management system. Similarly, one location may have the Windows NT operating system, while another may have UNIX. Heterogeneous systems are usually used when individual sites use their own hardware and software. On heterogeneous system, translations are required to allow communication between different sites (or DBMS). In this system, the users must be able to make requests in a database language at their local sites. Usually the SQL database language is used for this purpose. If the hardware is different, then

the translation is straightforward, in which computer codes and word-length is changed. The heterogeneous system is often not technically or economically feasible. In this system, a user at one location may be able to read but not update the data at another location.

**Important considerations**

Care with a distributed database must be taken to ensure the following:

* The distribution is transparent — users must be able to interact with the system as if it were one logical system. This applies to the system's performance, and methods of access among other things.
* [Transactions](http://en.wikipedia.org/wiki/Database_transaction) are transparent — each transaction must maintain [database integrity](http://en.wikipedia.org/wiki/Database_integrity) across multiple databases. Transactions must also be divided into sub-transactions, each sub-transaction affecting one database system.

There are two principal approaches to store a relation r in a distributed database system:

* + 1. [Replication](http://en.wikipedia.org/wiki/Database_replication)
		2. Fragmentation/[Partitioning](http://en.wikipedia.org/wiki/Partition_%28database%29)
1. Replication: In replication, the system maintains several identical replicas of the same relation r in different sites.
	* Data is more available in this scheme.
	* Parallelism is increased when read request is served.
	* Increases overhead on update operations as each site containing the replica needed to be updated in order to maintain consistency.
* Multi-datacenter replication provides geographical diversity: [http://basho.com/tag/multi-](http://basho.com/tag/multi-datacenter-replication/) [datacenter-replication/](http://basho.com/tag/multi-datacenter-replication/)
1. Fragmentation: The relation r is fragmented into several relations r1, r2, r3 rn in such a way that the

actual relation could be reconstructed from the fragments and then the fragments are scattered to different locations. There are basically two schemes of fragmentation:

* + Horizontal fragmentation - splits the relation by assigning each tuple of r to one or more fragments.
	+ Vertical fragmentation - splits the relation by decomposing the schema R of relation r.

**Advantages**

* Management of distributed data with different levels of transparency like network transparency, fragmentation transparency, replication transparency, etc.
* Increase reliability and availability
* Easier expansion
* Reflects organizational structure — database fragments potentially stored within the departments they relate to
* Local autonomy or site autonomy — a department can control the data about them (as they are the ones familiar with it)
* Protection of valuable data — if there were ever a catastrophic event such as a fire, all of the data would not be in one place, but distributed in multiple locations
* Improved performance — data is located near the site of greatest demand, and the database systems themselves are parallelized, allowing load on the databases to be balanced among servers. (A high load on one module of the database won't affect other modules of the database in a distributed database)
* Economics — it may cost less to create a network of smaller computers with the power of a single large computer
* Modularity — systems can be modified, added and removed from the distributed database without affecting other modules (systems)
* Reliable transactions - due to replication of the database
* Hardware, operating-system, network, fragmentation, DBMS, replication and location independence
* Continuous operation, even if some nodes go offline (depending on design)
* Distributed query processing can improve performance
* Distributed transaction management
* Single-site failure does not affect performance of system.
* All transactions follow [A.C.I.D.](http://en.wikipedia.org/wiki/ACID) property:
* A-atomicity, the transaction takes place as a whole or not at all
* C-consistency, maps one consistent DB state to another
* I-isolation, each transaction sees a consistent DB
* D-durability, the results of a transaction must survive system failures

The Merge Replication Method is popularly used to consolidate the data between databases.[*citation needed*]

**Disadvantages**

* Complexity — [DBAs](http://en.wikipedia.org/wiki/Database_administrator) may have to do extra work to ensure that the distributed nature of the system is transparent. Extra work must also be done to maintain multiple [disparate systems,](http://en.wikipedia.org/wiki/Disparate_system) instead of one big one. Extra database design work must also be done to account for the disconnected nature of the database — for example, joins become prohibitively expensive when performed across multiple systems.
* Economics — increased complexity and a more extensive infrastructure means extra labour costs
* Security — remote database fragments must be secured, and they are not centralized so the remote sites must be secured as well. The infrastructure must also be secured (for example, by encrypting the network links between remote sites).
* Difficult to maintain integrity — but in a distributed database, enforcing integrity over a network may require too much of the network's resources to be feasible
* Inexperience — distributed databases are difficult to work with, and in such a young field there is not much readily available experience in "proper" practice
* Lack of standards — there are no tools or methodologies yet to help users convert a centralized DBMS into a distributed DBMS[*citation needed*]
* Database design more complex — besides of the normal difficulties, the design of a distributed database has to consider fragmentation of data, allocation of fragments to specific sites and data replication
* Additional software is required
* Operating system should support distributed environment
* [Concurrency control](http://en.wikipedia.org/wiki/Concurrency_control) poses a major issue. It can be solved by [locking](http://en.wikipedia.org/wiki/Lock_%28database%29) and [timestamping.](http://en.wikipedia.org/wiki/Timestamp)
* Distributed access to data
* Analysis of distributed data.